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1 **Exploring the Origins of Snow Droughts in the Northern Sierra Nevada, California**

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18 **Abstract**

19 The concept of snow drought is gaining widespread interest as the climate of snow-dominated
20 mountain watersheds continues to change. Warm snow drought is defined as above or near
21 average accumulated precipitation coinciding with below average snow water equivalent at a
22 point in time. Dry snow drought is defined as below average accumulated precipitation and snow
23 water equivalent at a point in time. We contend that such point-in-time definitions might miss
24 important components of how snow droughts originate, persist, and terminate. Using these
25 simple definitions and a variety of observations at monthly, daily, and hourly timescales, we
26 explore the hydrometeorological origins of potential snow droughts in the northern Sierra
27 Nevada from water years 1951 to 2017. We find that snow droughts can result from extreme
28 early season precipitation, frequent rain on snow events, and low precipitation years. Late season
29 snow droughts can follow persistent warm and dry periods with effects that depend upon
30 elevation. Many snow droughts were characterized by lower snow fractions and frequent
31 midwinter peak runoff events. Our findings can guide improved evaluations of historical and
32 potential future snow droughts, particularly with regards to how impacts on water resources and
33 mountain ecosystems may vary depending on how snow droughts originate and evolve in time.

34

35 **1. Introduction**

36 Drought conditions and record low snowpacks in the western United States during water years
37 (WY; 1 October-30 September) 2014 and 2015 provided incentive to study the emerging
38 phenomenon known as snow drought (Cooper et al. 2016, Mote et al. 2016). The simplest
39 definition of snow drought is near or above average accumulated precipitation (P) coinciding
40 with below average snow water equivalent (SWE) at a point in time, typically 1 April when the

41 climatological maximum of SWE occurs (Pederson et al. 2011). Harpold et al. (2017) expanded
42 this definition into two types of snow droughts: warm snow drought, where October through
43 March accumulated P is greater than 100% of normal and SWE is less than 100% of normal on 1
44 April and dry snow drought, where October through March accumulated P is less than 100% of
45 normal and SWE is less than 100% of normal on 1 April. Beyond simple evaluations (e.g.,
46 Sproles et al. 2017) little attention has been given to the temporal evolution of snow droughts in
47 terms of persistent dry spells or individual storm events. Understanding the hydrometeorological
48 processes that create snow droughts will aid in evaluating their impacts on consumptive uses that
49 depend on snowmelt-derived runoff or ecological processes that depend on the presence of a
50 snowpack. At present, these specific impacts are not well characterized beyond broad knowledge
51 that shifts from snow to rain reduce warm season streamflow (Berghuijs et al. 2014) and that
52 warming winters cause less efficient snowmelt (Barnhart et al. 2016).

53
54 We postulate that defining snow drought based upon single points in time (i.e., 1 April) may
55 result in misleading evaluations of snow droughts by ignoring the mechanisms that lead to their
56 onset. Our purpose is to show how eight snow drought years identified on 1 April in the northern
57 Sierra Nevada (Fig. 1) can have varying hydrometeorological origins through the use of
58 observational data at monthly, daily, and hourly timescales. The results lead us to recommend
59 that snow droughts identified by the simple definitions given by Harpold et al. (2017) are
60 explored temporally to understand the root cause of the identified P/SWE divergence. We also
61 recommend that continuous observations of snow drought be implemented in order to identify
62 early- and mid-winter snow droughts that were later terminated by subsequent snowfall prior to 1
63 April. The goals of these recommendations are to improve subsequent studies focused on

64 examining how snow droughts impact hydrologic, ecologic, and socioeconomic systems as well
65 as identifying future snow drought likelihood in regions dependent on snow-derived water
66 resources.

67

68 **2. Data and Methods**

69 Monthly snow course data (<https://wcc.sc.egov.usda.gov/nwcc/rgrpt?report=snowcourse>) from
70 18 snow courses (Fig. 1) and P estimates from the Parameter Regression Independent Slope
71 Method model (PRISM; Daly et al. 2008) were used to identify warm snow droughts based upon
72 1 April accumulated P > 100% and SWE < 100% of normal. Dry snow droughts were identified
73 based upon 1 April accumulated P < 100% and SWE < 100% of normal. Each snow course had at
74 least 80% of the 1981-2010 climatology period in the record. Monthly values of temperature (T)
75 and P from the PRISM grid point nearest to three snow courses (Fig. 1) spanning low (1997 m),
76 middle (2103 m), and high elevations (2591 m) are included to examine the evolution of these
77 snow droughts as a function of elevation. We define early, middle, and late onset snow droughts
78 based upon the time their onset was identified, with October-November characterizing early,
79 December-February as middle, and late onset as March-April.

80

81 To explain the observed accumulated P/SWE ratios and explore impacts on streamflow, we
82 included the following additional observations in our analysis: 1) Daily, quality controlled P, T,
83 and SWE from three SNOwpack TELemetry (SNOTEL) stations (Tahoe City Cross (2072 m),
84 Squaw Valley Gold Coast (2442 m), and Fallen Leaf Lake (1903 m); Fig. 1) acquired from the
85 National Resources Conservation Service (<https://www.wcc.nrcs.usda.gov/snow/>), 2)
86 brightband-derived snow levels (White et al. 2010) from the NOAA Hydrometeorological

87 Testbed/California Department of Water Resources (DWR)-supported snow level radar located
88 at Colfax, CA, which was acquired from the Earth Systems Research Laboratory
89 (<http://www.esrl.noaa.gov>), 3) daily streamflow from the North Fork of the American River
90 acquired from the United States Geological Survey
91 (https://waterdata.usgs.gov/nwis/uv?site_no=11427000), 4) GPS-measured precipitable water
92 from the Bodega Bay Atmospheric River Observatory acquired from suominet
93 (<http://www.suominet.ucar.edu/>; Ware et al. 2000), 5) P values from a DWR weather station at
94 Blue Canyon (1610m; <http://cdec.water.ca.gov/>), and 6) daily maximum T and accumulated P
95 from five National Weather Service Cooperative Observer (COOP) stations located in the
96 northern Sierra Nevada above 1300 m elevation with 90% complete records from October 1950
97 to February 2017 acquired from the ACIS database (<http://scacis.rcc-acis.org/>).

98

99 We calculated the fraction of precipitation as snow (hereafter snow fraction) using the Dai
100 (2008) equation, which estimates conditional probabilities of snow as a function of T with
101 parameter values for the Sierra Nevada ecoregion (Rajagopal and Harpold (2016)). We calculated
102 the snow fraction for October-March (February in 2017) and took the five-station average over
103 this period.

104

105 **3. Results and Discussion**

106 **3.1 A Historical Monthly Perspective Using Snow Courses**

107 Seven snow drought years are identified from snow course data using the 1 April P/SWE criteria
108 (Fig. 2a). One is a warm snow drought (WY1951), two are influenced by singular wet events
109 (WY1963) or wet periods (WY1997), two are late onset snow droughts (WY1970 and WY2016),

110 and two are dry snow droughts (WY1977 and WY2015). Figure 3 shows monthly evolutions of
111 these snow droughts via PRISM estimates of T and P and first-of-the-month SWE measurements
112 for three snow courses (bold outlined dots in Fig. 1). WY1951 represents a classic warm snow
113 drought. This year was characterized by late fall (October-November) having well above normal
114 P and anomalously warm conditions followed by a wetter than normal winter (December-
115 January) while late winter (February-March) was dry and cool. WY1963 demonstrates how a
116 singular wet event can obscure an otherwise dry snow drought year, instead leading to its
117 categorization as a warm snow drought. WY1963 began with an extremely wet October resulting
118 from the warm and wet Columbus Day Storm of 1962 and was followed by a dry and
119 anomalously warm winter. The October event created early snow drought conditions with
120 divergent P (above normal) and SWE (below normal). Wetter than normal conditions during
121 February-March provided marginal SWE gains, however the 1 April P/SWE ratio satisfied the
122 snow drought criteria. WY1997 provides another example of how an extremely wet period (the
123 1997 flood; Lott et al. 1997; Kaplan et al. 2009) can facilitate producing a snow drought year.
124 Average T during WY1997 did not appear to play a major role in producing snow drought
125 conditions, although a dry and warm March reduced SWE at the low elevation snow course (Fig.
126 3g). This created a late onset snow drought due to above average accumulated P. The high snow
127 levels during the 1997 flood event melted substantial snow (Underwood et al. 2009; Kaplan et al.
128 2009) and resulted in strong elevation dependence of warm snow drought conditions (Figure 2c).
129 WY1970 and WY2016 (see next section) also appear as late onset snow droughts. The limitation
130 of using a monthly time step becomes evident when trying to assess the precise processes
131 causing WY1970 to transition into snow drought. WY1977 is a classic dry snow drought where
132 P and SWE were both much below normal. WY2015, noted for its exceptional lack of snow

133 (Belmecheri et al. 2015) and persistent above normal T (Fig. 3), is classified as a dry snow
134 drought due to low P (Fig. 3; Harpold et al. 2017).

135

136 **3.2 Daily Data Reveals Onset of the 2016 Snow Drought**

137 Daily observations of P and SWE from SNOTEL stations provided information relevant to the
138 late season snow drought onset during WY2016. As previously shown in other water years, the
139 influence of elevation on snow drought appears in snow course data (Fig. 2c). In 2016, the
140 elevation dependence of % of normal 1 April SWE is shown by above normal conditions at the
141 highest elevation station but below normal at the lowest elevation station (cf. Fig. 3c and 3i).
142 Daily data at the Tahoe City Cross station illustrates this further (Fig. 4) as both accumulated P
143 and SWE were near or above median values through February 2016. However, two dry periods
144 with anomalously warm conditions during February (Fig. 3a,d,g and Fig. 4a; right y-axis)
145 coincided with SWE depletion to below median values. This period marked the onset of snow
146 drought at Tahoe City, with accumulated P above and SWE below normal. Although P
147 accumulations continued in March and April, only marginal SWE recovery occurred due to
148 above normal T during March (Fig. 3). Using the normalized difference snow index (NDSI)
149 values from the MODIS mission and acquired using the Google Earth Engine-driven Climate
150 Engine (Huntington et al. 2017), the shift from an above-normal early season snowpack to
151 widespread low-elevation snow drought (cf. Fig 4b and 4c) following the warm, dry period is
152 shown spatially. Remotely sensed products such as NDSI may provide valuable data for real-
153 time snow drought monitoring in conjunction with ground-based station observations.

154

155 **3.3 A Case Study of 2017**

156 Daily and hourly observations add further insight to the physical processes driving onset and
157 termination phases of snow droughts. During the early portion of WY2017, concern mounted as
158 to whether WY2017 would become a warm snow drought year. Lack of snow during the
159 Christmas-New Year's period can have marked economic impacts on winter tourism in the
160 northern Sierra Nevada. To examine the sequence of events leading to warm snow drought onset
161 and termination between 1 October – 28 February 2017, we use daily P and SWE data from two
162 SNOTEL stations, a higher elevation station at Squaw Valley Gold Coast (2442 m; hereafter
163 Squaw; Fig. 5a) and a lower elevation station at Fallen Leaf (1902 m; Fig. 5b). Hourly
164 observations (Fig. 5c-e) are included to facilitate explanation of the processes driving snow
165 drought variability.

166

167 Early WY2017 featured multiple landfalling atmospheric rivers (Fig. 5e; defined following
168 Ralph et al. 2004) producing copious P with very high snow levels (> 2500 m) and little snow
169 accumulation. A dry November marked the onset of snow drought conditions (O1; brown boxes)
170 at Squaw. A higher snow level storm in early December led to recovery at Squaw, but produced
171 snow drought onset (O1F) at Fallen Leaf. A moderate snow level atmospheric river event
172 contributed substantial P with SWE increase at both SNOTEL stations leading to snow drought
173 termination (T1F) on 3-5 January at Fallen Leaf. A higher snow level atmospheric river event
174 during 8-10 January nearly brought Fallen Leaf back into snow drought due to appreciable rain-
175 on-snow. During the remainder of February, SWE at both elevations rose steadily, with one
176 exception being another high snow level and wet atmospheric river on 8-9 February. No further
177 periods of snow drought were observed.

178

179 WY2017 demonstrated the utility of employing daily and sub-daily observations in terms of
180 monitoring potential snow drought onsets and terminations and their root causes including
181 prolonged dry spells and high snow level precipitation events. Snow level radar data showed
182 how differing phases of P associated with heavy P events caused melting and accumulation of
183 snow to vary with time and by elevation on a storm-by-storm basis. Atmospheric rivers, which
184 provide large P totals and frequent rain-on-snow events due to warmer temperatures and higher
185 snow levels (Guan et al. 2016; Hatchett et al. 2017), appeared to contribute to snow drought
186 onset and termination during WY2017. The correspondence between atmospheric river
187 conditions, heavy P and high snow levels with varying low elevation SWE behavior and
188 streamflow peaks (Fig. 5d) emphasizes how high frequency observations facilitate explanation of
189 the hydrometeorological processes that contribute to snow drought variability. Examination of
190 multiple stations at high frequency intervals can also aid in identifying potentially impactful
191 snow drought conditions, such as low elevation snow drought (“O1F” in Figure 5).

192

193 **3.4 Snow Fraction and Midwinter Streamflow**

194 Partitioning total P into snow fractions and examining midwinter peaks in streamflow gives
195 additional process-based insight into the origins and implications of snow droughts. In six of
196 seven snow droughts, the snow fractions (Fig. 6a) are below the five-year moving average (thick
197 black line on Fig. 6a). Four of the lowest snow fractions observed over the period studied
198 occurred during snow drought years. Four of the seven snow drought years coincided with peak
199 midwinter runoff events in the North Fork of the American River (Fig. 6b). This result is
200 consistent with low snow fractions as rain-on-snow contributes to mid-winter runoff and
201 snowpack losses or minimal gains (Fig. 5). Under future climate regimes (Klos et al. 2014)

202 where stronger storms are likely (Lavers et al. 2015), the concern of shifting P phase towards
203 more rain (lower snow fractions) in T sensitive mountain ranges such as the Sierra Nevada
204 (Safeeq et al. 2015; Hatchett et al. 2017) implies that snow drought may become increasingly
205 frequent, especially in lower elevation mountains such as the northern Sierra Nevada. The
206 WY2015-2017 period may be an excellent test case for future climate regimes and how
207 managers can adapt to them. During these three years, P covered the full range of hydroclimatic
208 extremes (anomalously low to anomalously high) and three consecutive years of different flavors
209 of snow droughts were observed: dry in WY2015, late onset in WY2016, and early season in
210 WY2017.

211

212 **4. Concluding Remarks**

213 While not a comprehensive evaluation of all historic snow droughts in the northern Sierra
214 Nevada, our preliminary findings indicate that snow droughts have varied mechanistic origins.
215 The temporal divergence of P to SWE can be used as a metric to estimate the onset of snow
216 drought conditions (Sproles et al. 2017), particularly when examined in conjunction with
217 anomalous T (Cooper et al. 2016, Harpold et al. 2017) and the characteristics of individual
218 storms. These characteristics include snow levels during significant P events, persistence of
219 warm and dry conditions, or singular storm events. Years that do not satisfy the snow drought
220 criteria applied on 1 April (WY2017) should still be evaluated to identify early winter snow
221 drought conditions and potential impacts to ecological processes (e.g., Campbell et al. 2005) and
222 socioeconomic impacts (e.g., Harpold et al. 2017). Warm snow droughts frequently
223 corresponded with water years that included lower fractions of total precipitation falling as snow
224 and often included midwinter flood events, implying that rain-on-snow events often create warm

225 snow drought conditions. We hope that the considerations presented herein will yield robust
226 snow drought climatologies, assist in developing real-time snow drought monitoring that
227 assimilates high-resolution observational data, and in characterizing time-dependent snow
228 drought impacts. The importance of sub-monthly data is highlighted by aiding the identification
229 of specific processes producing (or terminating) snow droughts, such as winter heat waves or
230 extreme precipitation events with high snow levels. It also facilitates understanding of potential
231 impacts, such as midwinter peak streamflow following rain-on-snow events. Future research
232 should focus on identifying relevant timescales (durations and timing of onset) of snow drought
233 impacts for hydrologic and ecological processes. For example, hydrologic modeling could
234 identify threshold responses in watersheds to changes in precipitation phase and snowpack
235 accumulation patterns relevant for water resource management.

236

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244

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328 Figure 1: Map of the Northern Sierra Nevada study area. Snow course dots with bold outlines
329 were used in Fig. 3.

330

331 Figure 2: (a) Scatterplot of PRISM October-March accumulated P and snow course SWE for 1
332 April during the period spanning WY1951-2017 as a percentage of 1981-2010 medians. Each dot
333 represents a different snow course. All years are shown in grey with identified snow droughts
334 colored. WY2017 (black dots) is also shown since although it failed to be classified as a snow
335 drought on 1 April, snow drought conditions were present during November-December.
336 WY2017 is presented as a case study in section 3.3. (b) Percentage of 1 April 1981-2010 median
337 snow course SWE versus elevation for WY1970. (c) As in (b) except for WY1997.

338

339 Figure 3: Monthly evolution of T (a) P (b), and SWE (c) for seven snow droughts at Carson Pass
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341 for Camp Richardson (elevation 1997 m). T values are anomalies (in °C) and P and SWE values
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343

344 Figure 4: (a) Time series of 1981-2010 median and WY2016 observed SWE, P, and T anomalies
345 for the Tahoe City Cross SNOTEL spanning 1 October 2015 to 30 April 2016. MODIS-derived
346 normalized snow difference index values (differenced from 2002-2017 averages) for the 30-day
347 periods during no snow drought (b) and after the onset of low elevation snow drought (c).

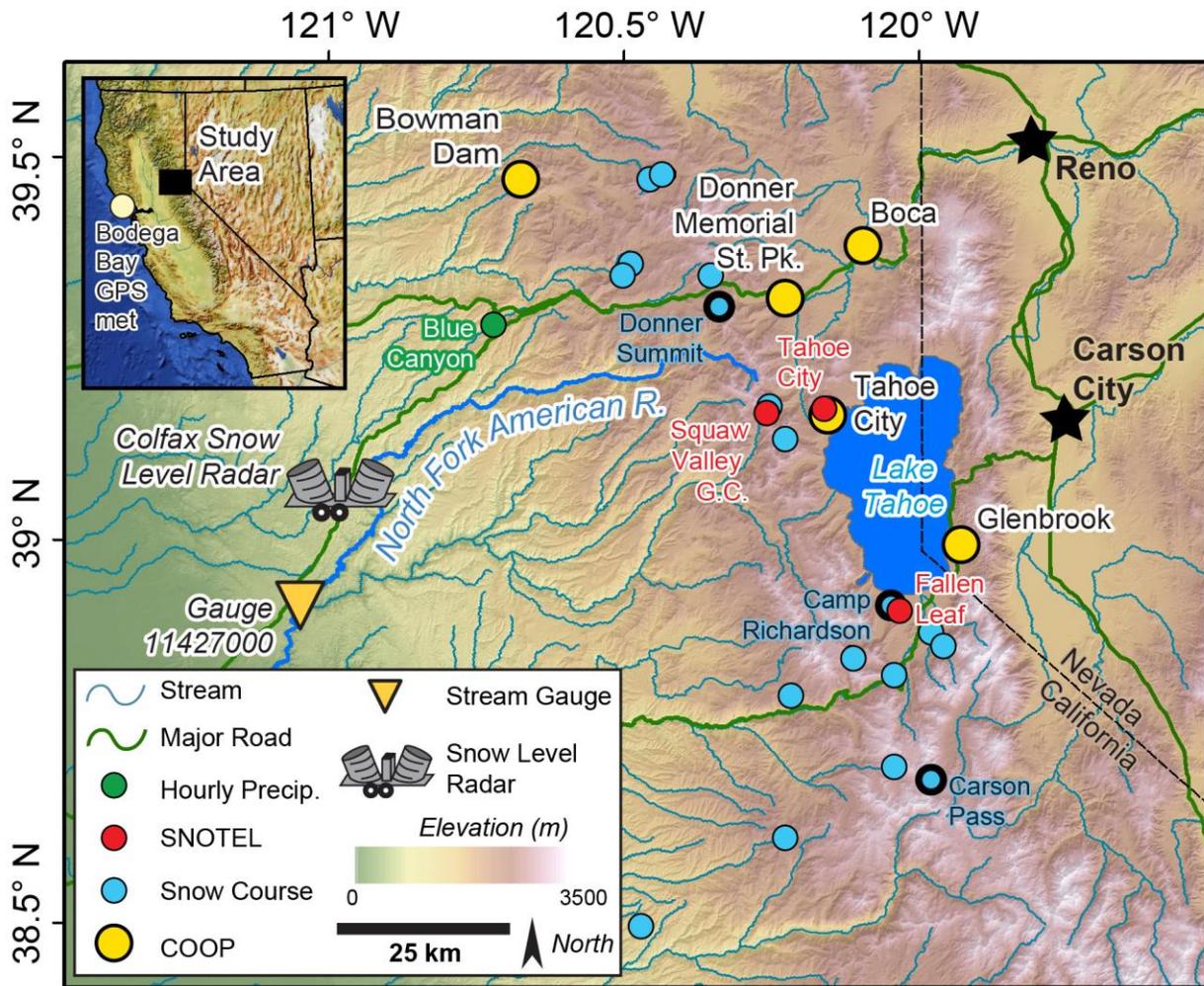
348

349 Figure 5: (a) Median 1981-2010 precipitation (P; salmon) and snow water equivalent (SWE;
350 light blue) and WY2017 observed P (red) and SWE (dark blue) at Squaw Valley Gold Coast
351 SNOTEL for 1 October – 28 February 2017. (b) As in (a) but for Fallen Leaf SNOTEL. (c)
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354 Bodega Bay (left) and precipitation at Blue Canyon (right). Blue bars denote atmospheric river
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356

357 Figure 6: (a) Fraction of October-March precipitation estimated as snow and averaged over five
358 COOP stations. Black line shows the five-year moving average. Dots are colored by total
359 October-March precipitation and sized proportional to the median number of precipitation days.
360 (b) Daily observations of October-March streamflow at the North Fork of the American River.
361 The dashed blue line shows the top 0.02% of streamflow from the period 1950-2017. Red boxes
362 on both plots show identified snow drought years (cf. Fig. 2) and the black box shows WY2017.

363

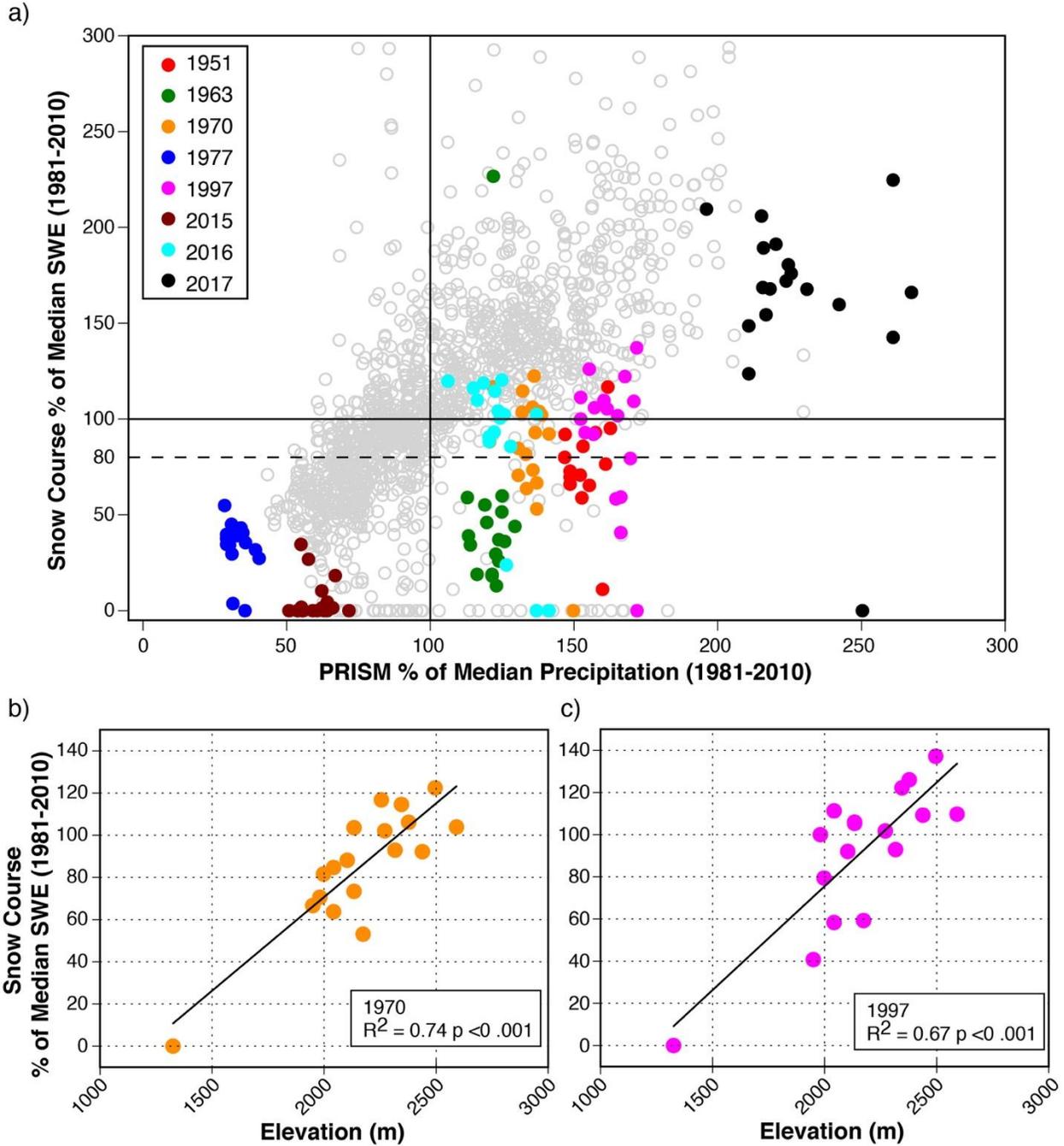


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368

369 Figure 2: (a) Scatterplot of PRISM October-March accumulated P and snow course SWE for 1

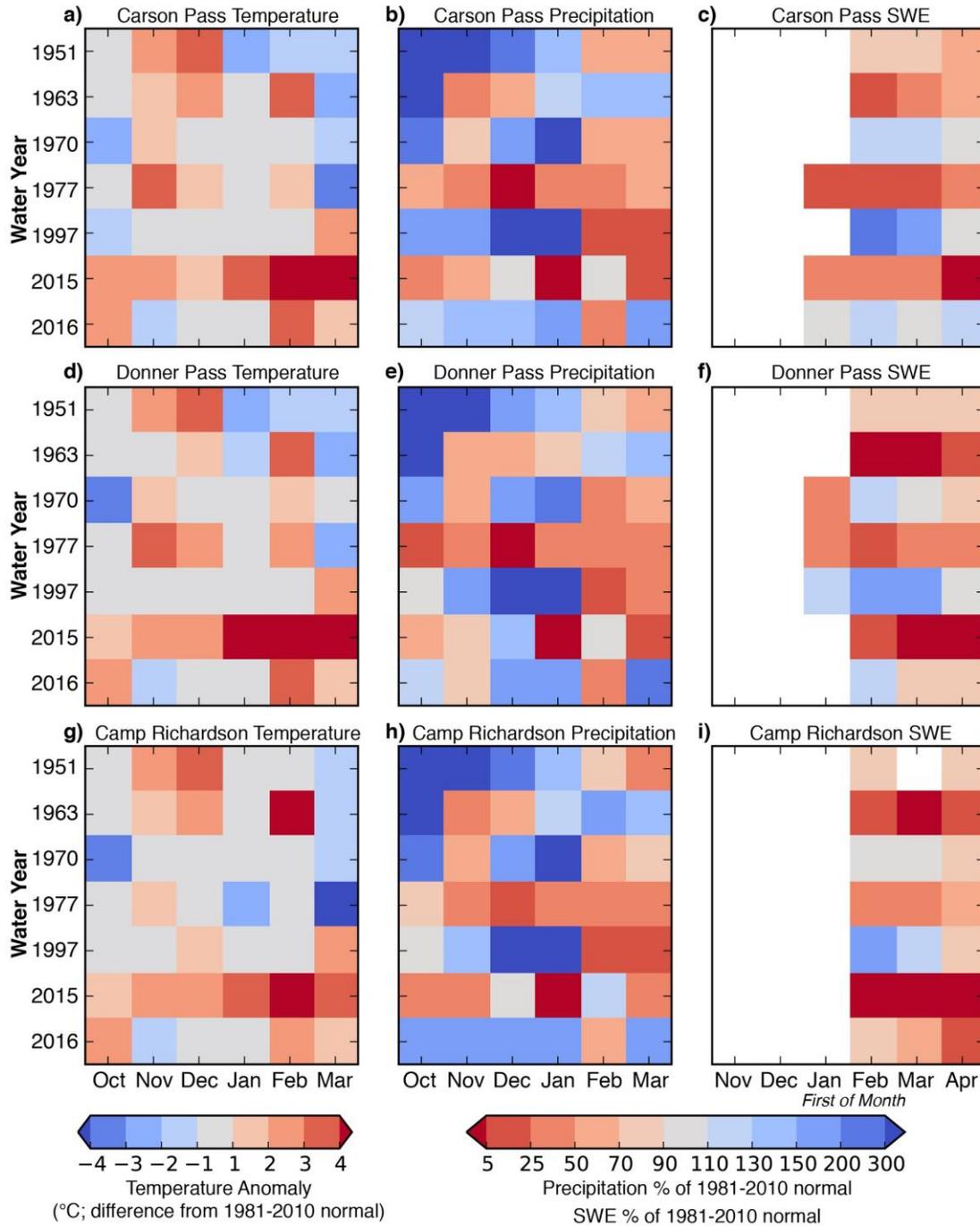
370 April during the period spanning WY1951-2017 as a percentage of 1981-2010 median values.

371 Each dot represents a different snow course and year. All years are shown in grey with identified

372 snow droughts colored. WY2017 (black dots) is also shown since although it failed to be

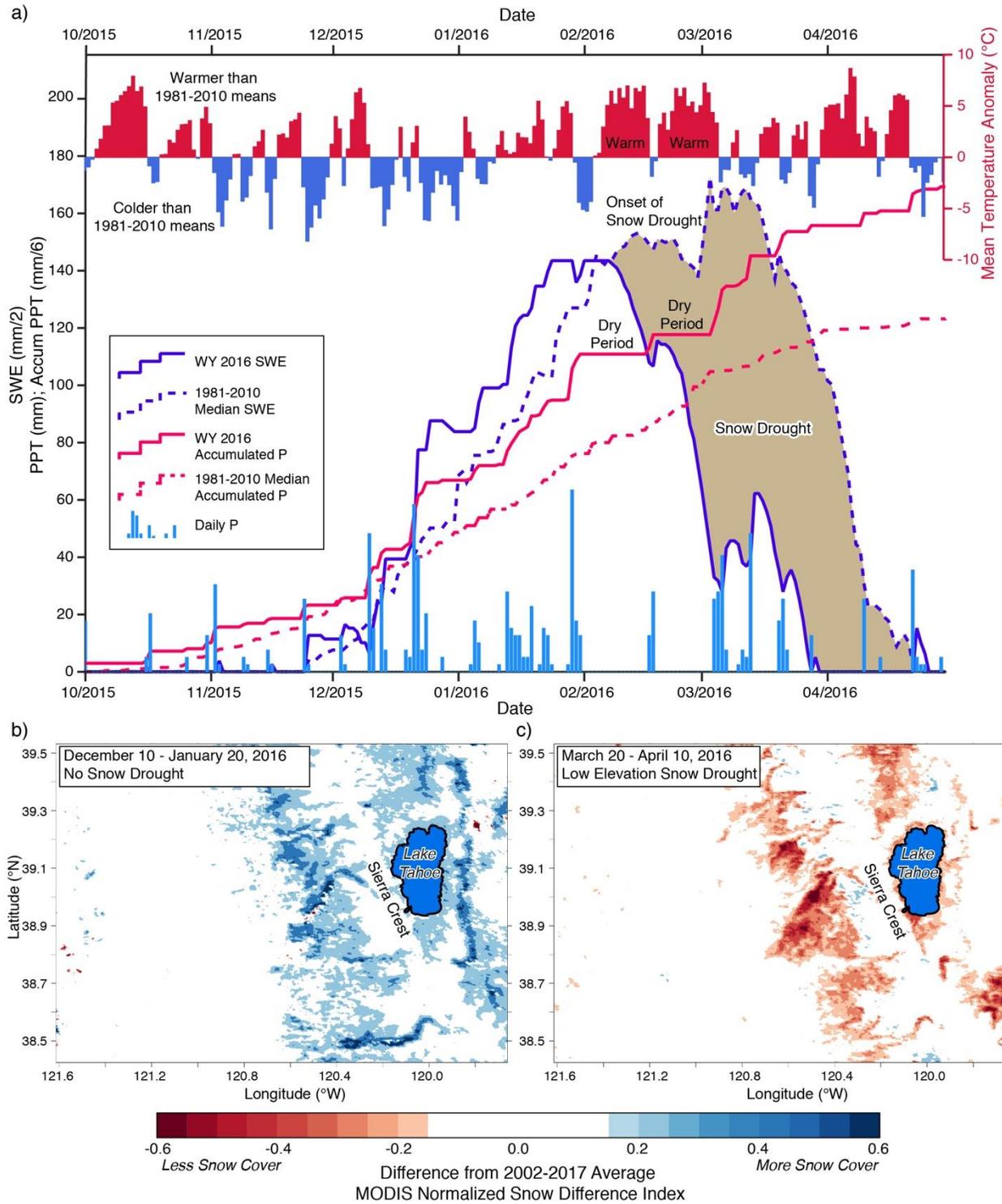
373 classified as a snow drought on 1 April, snow drought conditions were present during
374 November-December. WY2017 is presented as a case study in section 3.3. (b) Percentage of 1
375 April 1981-2010 median snow course SWE versus elevation for WY1970. (c) As in (b) except
376 for WY1997.

377



378

379 Figure 3: Monthly evolution of T (a) P (b), and SWE (c) for seven snow droughts at Carson Pass
 380 (elevation 2591 m). (d-f) as in (a-c) but for Donner Pass (elevation 2103 m). (g-i) as in (a-c) but
 381 for Camp Richardson (elevation 1997 m). T values are anomalies (in °C) and P and SWE values
 382 are percent of 1981-2010 climatology.



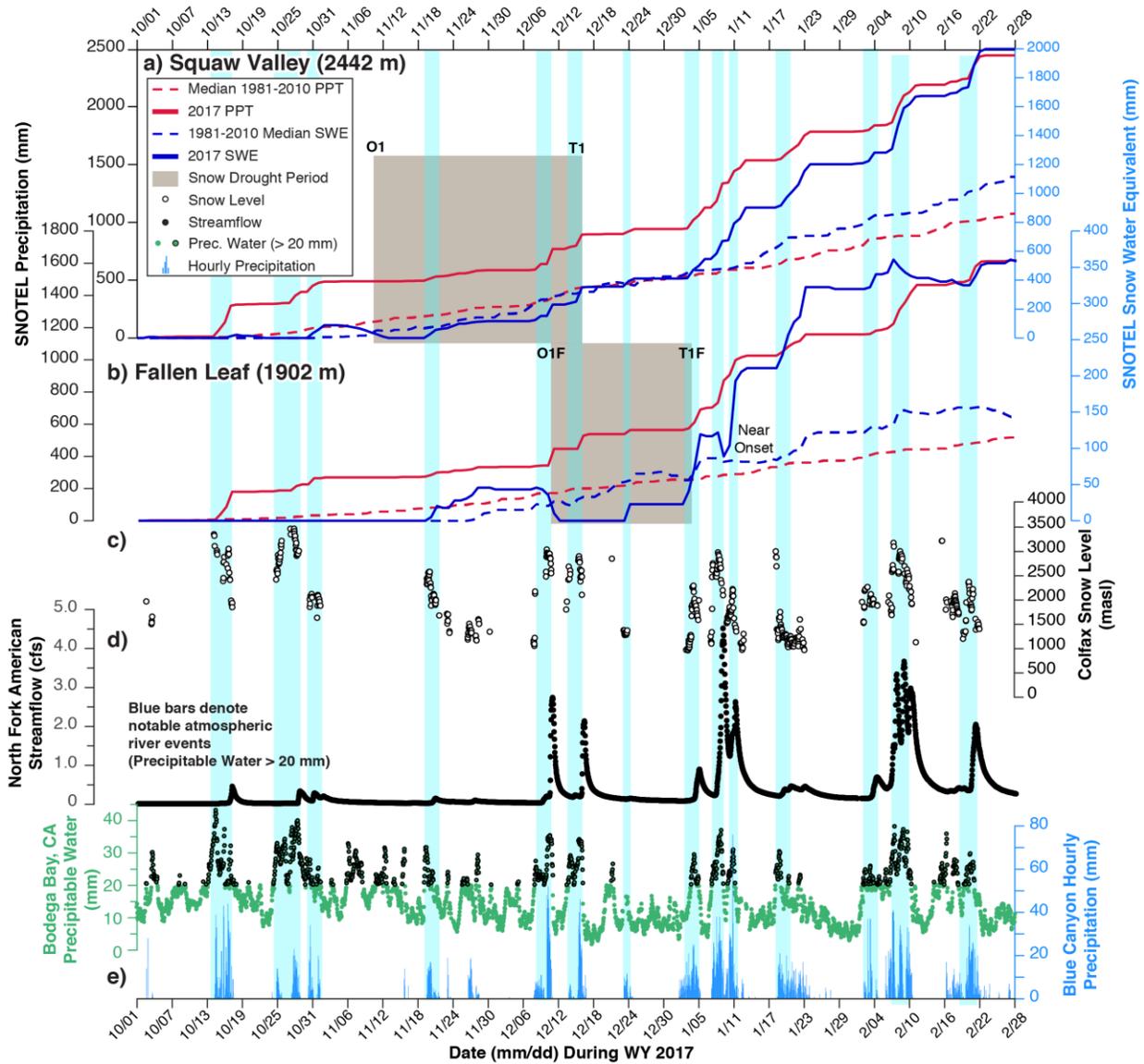
383

384 Figure 4: (a) Time series of 1981-2010 median and WY2016 observed SWE, P, and T anomalies

385 for the Tahoe City Cross SNOTEL spanning 1 October 2015 to 30 April 2016. MODIS-derived

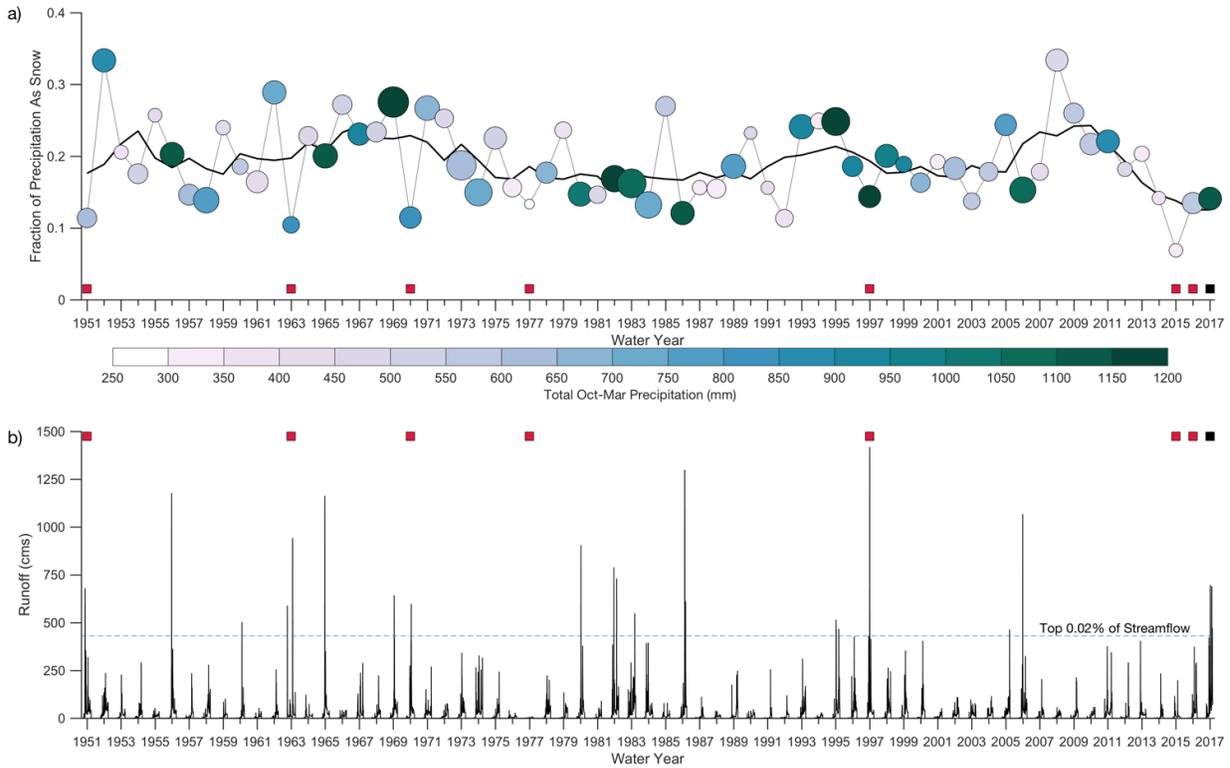
386 normalized snow difference index values (differenced from 2002-2017 averages) for the 30-day
387 periods during no snow drought (b) and after the onset of low elevation snow drought (c).

388



389

390 Figure 5: (a) Median 1981-2010 precipitation (P; salmon) and snow water equivalent (SWE;
 391 light blue) and WY2017 observed P (red) and SWE (dark blue) at Squaw Valley Gold Coast
 392 SNOTEL for 1 October – 28 February 2017. (b) As in (a) but for Fallen Leaf SNOTEL. (c)
 393 Brightband-derived snow levels derived from the Colfax, CA snow level radar. (d) Streamflow at
 394 gauge on the North Fork of the American River. (e) GPS-derived total precipitable water at
 395 Bodega Bay (left) and precipitation at Blue Canyon (right). Blue bars denote atmospheric river
 396 events.



398

399 Figure 6: (a) Fraction of October-March precipitation estimated as snow and averaged over five
 400 COOP stations. Black line shows the five-year moving average. Dots are colored by total
 401 October-March precipitation and sized proportional to the median number of precipitation days.
 402 (b) Daily observations of October-March streamflow at the North Fork of the American River.
 403 The dashed blue line shows the top 0.02% of streamflow from the period 1950-2017. Red boxes
 404 on both plots show identified snow drought years (cf. Fig. 2) and the black box shows WY2017.